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Sheet 1 of 7U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
980034.417C5APPLICATION NO.  
10/762,210SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

APPLICANTS

Ronald J. Berenson et al.

FILING DATE

January 20, 2004

GROUP ART UNIT

1651

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK					
	AL					
	AM					
	AN					
	AO					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Brodie et al., "In vivo migration and function of transferred HIV-1-specific cytotoxic T cells," <i>Nature Medicine</i> 5(1):34-41, January 1999.
	AQ	Cohen et al., "Propagation of mouse and human T cells with defined antigen specificity and function," <i>CIBA Foundation Symposium</i> , 187:179-197, 1994.
	AR	Curtsinger et al., "CD8 <sup>+</sup> Memory T Cells (CD44 <sup>high</sup> , Ly-6C <sup>+</sup> ) Are More Sensitive than Naïve Cells (CD44 <sup>low</sup> , Ly-6C <sup>-</sup> ) to TCR/CD8 Signaling in Response to Antigen," <i>J. Immunol.</i> , 160:3236-3243, 1998.

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AA	Dahl et al., "Expression of Bcl-X <sub>L</sub> Restores Cell Survival, but Not Proliferation and Effector Differentiation, in CD28-deficient T Lymphocytes," <i>J. Exp. Med.</i> , 191(12):2031-2037, June 19, 2000.
AB	DeBenedette et al., "Costimulation of CD28 <sup>-</sup> T Lymphocytes by 4-1BB Ligand," <i>J. Immunol.</i> , 158(2):551-559, January 15, 1997.
AC	Deeths et al., "B7-1-dependent co-stimulation results in qualitatively and quantitatively different responses by CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells," <i>Eur. J. Immunol.</i> , 27(1):598-608, January 1997.
AD	Deeths et al., "CD8 <sup>+</sup> T Cells Become Nonresponsive (Anergic) Following Activation in the Presence of Costimulation," <i>J. Immunol.</i> , 163:102-110, 1999.
AE	Dong et al., "B7-H1, a third member of the B7 family, co-stimulates T-cell proliferation and interleukin-10 secretion," <i>Nature Medicine</i> 5(12):1365-1369, December 1999.
AF	Dunbar et al., "Direct isolation, phenotyping and cloning of low-frequency antigen-specific cytotoxic T lymphocytes from peripheral blood," <i>Current Biology</i> , 8(7):413-416, March 26, 1998.
AG	Fanger et al., "Type I (CD64) and Type II (CD32) Fcγ Receptor-Mediated Phagocytosis by Human Blood Dendritic Cells," <i>J. Immunol.</i> , 157(2):541-548, July 15, 1996.
AH	Fowler et al., "Donor CD4-Enriched Cells of Th2 Cytokine Phenotype Regulate Graft-Versus-Host Disease Without Impairing Allogeneic Engraftment in Sublethally Irradiated Mice," <i>Blood</i> , 84(10):3540-3549, November 15, 1994.
AI	Fraser et al., "Regulation of Interleukin-2 Gene Enhancer Activity by the T Cell Accessory Molecule CD28," <i>Science</i> , 251:313-316, January 18, 1991.
AJ	Freeman et al., "Engagement of the PD-1 Immunoinhibitory Receptor by a Novel B7 Family Member Leads to Negative Regulation of Lymphocyte Activation," <i>J. Exp. Med.</i> , 192(7):1027-1034, October 2, 2000.
AK	Gett et al., "Cell division regulates the T cell cytokine repertoire, revealing a mechanism underlying immune class regulation," <i>Proc. Natl. Acad. Sci. USA</i> , 95:9488-9493, August 1998.
AL	Gett et al., "A cellular calculus for signal integration by T cells," <i>Nature Immunology</i> , 1(3):239-244, September 2000.
AM	Gillis et al., "Long term culture of tumour-specific cytotoxic T cells," <i>Nature</i> , 268(14):154-156, July 14, 1977.
AN	Gimmi et al., "B-cell surface antigen B7 provides a costimulatory signal that induces T cells to proliferate and secrete interleukin 2," <i>Proc. Natl. Acad. Sci. USA</i> , 88:6575-6579, August 1991.

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<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AA	Goodwin et al., "Molecular cloning of a ligand for the inducible T cell gene 4-1BB: a member of an emerging family of cytokines with homology to tumor necrosis factor," <i>Eur. J. Immunol.</i> , 23(10):2631-2641, October 1993.	
	AB	Green et al., "Antigen-specific human monoclonal antibodies from mice engineered with human Ig heavy and light chain YACs," <i>Nat. Genet.</i> , 7(1):13-21, May 1994.	
	AC	Groux et al., "CD3-mediated apoptosis of human medullary thymocytes and activated peripheral T cells: respective roles of interleukin-1, interleukin-2, interferon- $\gamma$ and accessory cells," <i>Eur. J. Immunol.</i> , 23(7):1623-1629, July 1993.	
	AD	Guinn et al., "4-1BBL Cooperates with B7-1 and B7-2 in Converting a B Cell Lymphoma Cell Line into a Long-Lasting Antitumor Vaccine," <i>J. Immunol.</i> , 162:5003-5010, 1999.	
	AE	Hansen et al., "Monoclonal Antibodies Identifying a Novel T-Cell Antigen and Ia Antigens of Human Lymphocytes," <i>Immunogenetics</i> , 10(3):247-260, March 1, 1980.	
	AF	Harding et al., "CD28- mediated signalling co-stimulates murine T cells and prevents induction of anergy in T-cell clones," <i>Nature</i> 356:607-609, April 16, 1992.	
	AG	Heimfeld et al., "Improvements in Gene Therapy: Rapid Purification of Specific Target Cells Using the CEPRATE <sup>®</sup> System," <i>British J. Haematol.</i> , 87(1):193, Abstract No. 754, 1994.	
	AH	Henderson et al., "Comparison of the effects of FK-506, cyclosporin A and rapamycin on IL-2 production," <i>Immunol.</i> , 73(3):316-321, July 1991.	
	AI	Heslop et al., "Long-term restoration of immunity against Epstein-Barr virus infection by adoptive transfer of gene-modified virus-specific T lymphocytes," <i>Nature Medicine</i> 2(5):551-555, May 1996.	
	AJ	Hurtado et al., "Potential Role of 4-1BB in T Cell Activation," <i>J. Immunol.</i> 155(7):3360-3367, October 1, 1995.	
	AK	Hurtado et al., "Signals Through 4-1BB are Costimulatory to Previously Activated Splenic T Cells and Inhibit Activation-Induced Cell Death," <i>J. Immunol.</i> 158(6):2600-2609, March 15, 1997.	
	AL	Jelley-Gibbs et al., "Two Distinct Stages in the Transition from Naive CD4 T Cells to Effectors, Early Antigen-Dependent and Late Cytokine-Driven Expansion and Differentiation," <i>J. Immunol.</i> , 165:5017-5026, 2000.	
	AM	Jenkins et al., "Molecules involved in T-cell costimulation," <i>Curr. Opin. Immunol.</i> , 5(3):361-367, June 1993.	
	AN	June et al., "T-Cell Proliferation Involving the CD28 Pathway Is Associated with Cyclosporine-Resistant Interleukin 2 Gene Expression," <i>Mol. Cell. Biol.</i> , 7(12):4472-4481, December 1987.	
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AA	Kabelitz et al., "Life and death of a superantigen-reactive human CD4 <sup>+</sup> T cell clone: staphylococcal enterotoxins induce death by apoptosis but simultaneously trigger a proliferative response in the presence of HLA-DR <sup>+</sup> antigen-presenting cells," <i>Int. Immunol.</i> , 4(12):1381-1388, December 1992.
AB	Kawabe et al., "Programmed cell death and extrathymic reduction of V $\beta$ 8 <sup>+</sup> CD4 <sup>+</sup> T cells in mice tolerant to <i>Staphylococcus aureus</i> enterotoxin B," <i>Nature</i> , 349:245-248, January 17, 1991.
AC	Ku et al., "Control of Homeostasis of CD8 <sup>+</sup> Memory T Cells by Opposing Cytokines," <i>Science</i> , 288:675-678, April 28, 2000.
AD	Kung et al., "Monoclonal Antibodies Defining Distinctive Human T Cell Surface Antigens," <i>Science</i> , 206:347-349, October 19, 1979.
AE	Kurys et al., "The Long Signal Peptide Isoform and Its Alternative Processing Direct the Intracellular Trafficking of Interleukin-15," <i>J. Biol. Chem.</i> , 275(39):30653-30659, September 29, 2000.
AF	Lanzavecchia, A., "Licence to Kill," <i>Nature</i> , 393:413-414, June 4, 1998.
AG	Latouche et al., "Induction of human cytotoxic T lymphocytes by artificial antigen-presenting cells," <i>Nature Biotechnology</i> , 18(4):405-409, April 2000.
AH	Laux et al., "Response Differences between Human CD4 <sup>+</sup> and CD8 <sup>+</sup> T-Cells during CD28 Costimulation: Implications for Immune Cell-Based Therapies and Studies Related to the Expansion of Double-Positive T-Cells during Aging," <i>Clin. Immunol.</i> , 96(3):187-197, September 2000.
AI	Lenschow et al., "Long-Term Survival of Xenogeneic Pancreatic Islet Grafts Induced by CTLA4lg," <i>Science</i> , 257:789-792, August 7, 1992.
AJ	Levine et al., "CD28 ligands CD80 (B7-1) and CD86 (B7-2) induce long-term autocrine growth of CD4 <sup>+</sup> T cells and induce similar patterns of cytokine secretion <i>in vitro</i> ," <i>Int. Immunol.</i> , 7(6):891-904, 1995.
AK	Lindsten et al., "Regulation of Lymphokine Messenger RNA Stability by a Surface-Mediated T Cell Activation Pathway," <i>Science</i> , 244:339-343, April 21, 1989.
AL	Linsley et al., "The Role of the CD28 Receptor During T Cell Responses to Antigen," <i>Annu. Rev. Immunol.</i> , 11:191-212, 1993.
AM	Liu et al., "Calcineurin is a Common Target of Cyclophilin-Cyclosporin A and FKBP-FK506 Complexes," <i>Cell</i> , 66(4):807-815, August 23, 1991.
AN	Liuzzo et al., "Perturbation of the T-Cell Repertoire in Patients With Unstable Angina," <i>Circulation</i> , 100(21):2135-2139, November 23, 1999.
AO	Lord et al., "The IL-2 Receptor Promotes Proliferation, <i>bcl-2</i> and <i>bcl-x</i> Induction, But Not Cell Viability Through the Adapter Molecule Shc," <i>J. Immunol.</i> , 161:4627-4633, 1998.

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AA	Malefyt et al., "Direct Effects of IL-10 on Subsets of Human CD4 <sup>+</sup> T Cell Clones and Resting T Cells," <i>J. Immunol.</i> , 150(11):4754-4765, June 1, 1993.
AB	Marks-Konczalik et al., "IL-2-induced activation-induced cell death is inhibited in IL-15 transgenic mice," <i>Proc. Natl. Acad. Sci. USA</i> , 97(21):11445-11450, October 10, 2000.
AC	Melero et al., "Monoclonal antibodies against the 4-1BB T-cell activation molecule eradicate established tumors," <i>Nature Medicine</i> , 3(6):682-685, June 1997.
AD	Melero et al., "Amplification of tumor immunity by gene transfer of the co-stimulatory 4-1BB ligand: synergy with the CD28 co-stimulatory pathway," <i>Eur. J. of Immunol.</i> 28(3):1116-1121, March 1998.
AE	Melief et al., "T-Cell Immunotherapy of Tumors by Adoptive Transfer of Cytotoxic T Lymphocytes and by Vaccination with Minimal Essential Epitopes," <i>Immunological Reviews</i> , 146:167-177, 1995.
AF	Musso et al., "Human Monocytes Constitutively Express Membrane-Bound, Biologically Active, and Interferon - $\gamma$ - Upregulated Interleukin-15," <i>Blood</i> , 93(10):3531-3539, May 15, 1999.
AG	Pollok et al., "Inducible T Cell Antigen 4-1BB: Analysis of Expression and Function," <i>J. Immunol.</i> , 150(3):771-781, February 1, 1993.
AH	Rabinovitch, "Regulation of human fibroblast growth rate by both noncycling cell fraction and transition probability is shown by growth in 5-bromodeoxyuridine followed by Hoechst 33258 flow cytometry," <i>Proc. Natl. Acad. Sci. USA</i> , 80:2951-2955, May 1983.
AI	Refaeli et al., "Biochemical Mechanisms of IL-2-Regulated Fas-Mediated T Cell Apoptosis," <i>Immunity</i> , 8(5):615-623, May 1998.
AJ	Riddell et al., "The Fred Hutchinson Cancer Research Center and the University of Washington School of Medicine, Department of Medicine, Division of Oncology: Phase I Study of Cellular Adoptive Immunotherapy Using Genetically Modified CD8 <sup>+</sup> HIV-Specific T Cells for HIV Seropositive Patients Undergoing Allogeneic Bone Marrow Transplant," <i>Humane Gene Therapy</i> , 3(3):319-338, June 1992.
AK	Riddell et al., "Restoration of Viral Immunity in Immunodeficient Humans by the Adoptive Transfer of T Cell Clones," <i>Science</i> , 257:238-241, July 10, 1992.
AL	Riddell et al., "Principles for Adoptive T Cell Therapy of Human Viral Diseases," <i>Ann. Rev. Immunol.</i> , 13:545-586, 1995.
AM	Rooney et al., "Infusion of Cytotoxic T Cells for the Prevention and Treatment of Epstein-Barr Virus-Induced Lymphoma in Allogeneic Transplant Recipients," <i>Blood</i> , 92(5):1549-1555, September 1, 1998.
AN	Rosenberg et al., "Gene Transfer into Humans – Immunotherapy of Patients with Advanced Melanoma, using Tumor-Infiltrating Lymphocytes Modified by Retroviral Gene Transduction," <i>N. Engl. J. Med.</i> , 323(9):570-578, August 30, 1990.

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AA	Sagerström et al., "Activation and differentiation requirements of primary T cells <i>in vitro</i> ," <i>Proc. Natl. Acad. Sci. USA</i> , 90:8987-8991, October 1993.	
AB	Salomon et al., "B7/CD28 Costimulation is Essential for the Homeostasis of the CD4 <sup>+</sup> CD25 <sup>+</sup> Immunoregulatory T Cells that Control Autoimmune Diabetes," <i>Immunity</i> , 12(4):431-440, April 2000.	
AC	San Jose et al., "Assembly of the TCR/CD3 complex: CD3ε/δ and CD3ε/γ dimers associate indistinctly with both TCRα and TCRβ chains. Evidence for a double TCR heterodimer model," <i>Eur. J. Immunol.</i> , 28:12-21, 1998.	
AD	Saoulli et al., "CD28-independent, TRAF2-dependent Costimulation of Resting T Cells by 4-1BB Ligand," <i>J. Exp. Med.</i> , 187(11):1849-1862, June 1, 1998.	
AE	Schwartz, R.H., "Costimulation of T Lymphocytes: The Role of CD28, CTLA-4, and B7/BB1 in Interleukin-2 Production and Immunotherapy," <i>Cell</i> , 71:1065-1068, December 24, 1992.	
AF	Shevach, "Regulatory T Cells in Autoimmunity," <i>Annu. Rev. Immunol.</i> 18:423-449, 2000.	
AG	Shuford et al., "4-1BB Costimulatory Signals Preferentially Induce CD8 <sup>+</sup> T Cell Proliferation and Lead to the Amplification In Vivo of Cytotoxic T Cell Responses," <i>J. Exp. Med.</i> , 186(1):47-55, July 7, 1997.	
AH	Smith et al., "T-Cell Growth Factor-Mediated T-Cell Proliferation," <i>Ann. N.Y. Acad. Sci.</i> , 332:423-432, 1979.	
AI	Springer et al., "The Lymphocyte Function-Associated LFA-1, CD2, and LFA-3 Molecules: Cell Adhesion Receptors of the Immune System," <i>Ann. Rev. Immunol.</i> , 5:223-252, 1987.	
AJ	Tagaya et al., "Generation of secretable and nonsecretable interleukin 15 isoforms through alternate usage of signal peptides," <i>Proc. Natl. Acad. Sci. USA</i> , 94:14444-14449, December 1997.	
AK	Takahashi et al., "Cutting Edge: 4-1BB Is a Bona Fide CD8 T Cell Survival Signal," <i>J. Immunol.</i> , 162:5037-5040, 1999.	
AL	Tan et al., "4-1BB Costimulation Is Required for Protective Anti-Viral Immunity After Peptide Vaccination," <i>J. Immunol.</i> , 164:2320-2325, 2000.	
AM	Turka et al., "T-cell activation by the CD28 ligand B7 is required for cardiac allograft rejection <i>in vivo</i> ," <i>Proc. Natl. Acad. Sci. USA</i> , 89:11102-11105, November 1992.	
AN	van de Winkel et al., "Human IgG Fc receptor heterogeneity: molecular aspects and clinical implications," <i>Immunol. Today</i> , 14(5):215-221, 1993.	
AO	Voltz et al., "A Serologic Marker of Paraneoplastic Limbic and Brain-Stem Encephalitis in Patients with Testicular Cancer," <i>N. Eng. J. Med.</i> , 340(23):1788-1795, June 10, 1999.	
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	AA	Wang et al., "Naïve CD8 <sup>+</sup> T Cells Do Not Require Costimulation for Proliferation and Differentiation into Cytotoxic Effector Cells," <i>J. of Immunol.</i> , 164:1216-1222, 2000.
	AB	Webb et al., "Extrathymic Tolerance of Mature T Cells: Clonal Elimination as a Consequence of Immunity," <i>Cell</i> , 63:1249-1256, December 21, 1990.
	AC	Wells et al., "Following the Fate of Individual T Cells Throughout Activation and Clonal Expansion," <i>J. Clin. Invest.</i> , 100(12):3173-3183, December 1997.
	AD	Wells et al., "T Cell Effector Function and Anergy Avoidance Are Quantitatively Linked to Cell Division," <i>J. Immunol.</i> , 165:2432-2443, 2000.
	AE	Weyand et al., "Functional properties of CD4 <sup>+</sup> CD28 <sup>-</sup> T cells in the aging immune system," <i>Mechanisms of Ageing and Development</i> , 102(2, 3):131-147, May 15, 1998.
	AF	Yee et al., "Isolation of High Avidity Melanoma-Reactive CTL from Heterogeneous Populations Using Peptide-MHC Tetramers," <i>J. Immunol.</i> , 162:2227-2234, 1999.
	AG	Yotnda et al., "Cytotoxic T Cell Response Against the Chimeric p210 BCR-ABL Protein in Patients with Chronic Myelogenous Leukemia," <i>J. Clin. Invest.</i> , 101(10):2290-2296, May 1998.
	AH	Zamai et al., "Lymphocyte binding to K562 cells: effect of target cell irradiation and correlation with ICAM-1 and LFA-3 expression," <i>Eur. J. Histochem.</i> , 38(Supp.1):53-60, 1994.
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